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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DAWSON

Atty. Ref.: LSN-4223-11

Serial No. 09/972,193

TC/A.U.: 3694

Filed: October 9, 2001

Examiner: S. Trotter

For: APPARATUS AND METHODS FOR HANDLING  
TRADING DATA

\* \* \* \* \*

June 11, 2007

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**REQUEST TO RE-MAIL LAST OFFICE ACTION**  
**AND RE-SET RESPONSE PERIODS**  
**DUE TO PATENT OFFICE ERROR**

On the afternoon of June 4, 2007, the undersigned's firm received for the first time a USPS package from the USPTO with the Office Action dated 12/01/2006. The USPS postage stamp on the envelope was dated May 29, 2007 (copy attached).

According to our prior files, there was no outstanding Office Action. Checking with the USPTO PAIR Website, we discovered that an Office Action dated 12/01/2006 was improperly addressed and therefore undelivered and returned by the Postal Service to the USPTO on or about December 11, 2006 with a note clearly indicating that it was not deliverable as addressed. However, rather than to take any corrective action, this document apparently simply stayed in the electronic file wrapper and no further action

DAWSON  
Serial No. 09/972,193

was apparently taken until, for unknown reasons, the USPTO again mailed the same Office Action on May 29, 2007. Although this was also incorrectly addressed, for some unknown reason the USPS forwarded this mailing to our new address (see attached copy).

On April 11, 2003, the undersigned filed in this proceeding a Correspondence Address Indication Form (copy attached together with a copy of the USPTO card receipt therefore) a clear direction for the Patent Office to direct all future correspondence to Customer Number 23117.

For over two years now the undersigned has been receiving mail for Customer Number 23117 at the address indicated below – not the prior address to which the Patent Office erroneously addressed the outstanding Office Action.

Based on the undersigned's investigation to date, it appears that the USPTO erroneously failed to associate this application with Customer Number 23117 – and therefore the Office Action of 12/01/2006 was improperly addressed and non-deliverable.

Under the circumstances, it is believed appropriate that the Office Action be re-sent so as to re-start the response period.

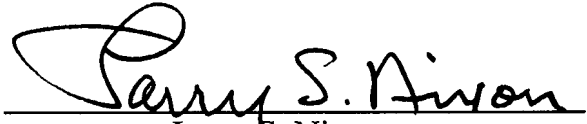
DAWSON  
Serial No. 09/972,193

Under the circumstances, it is not believed that any fee should be required for this correction of a USPTO error. However, if any such fee is required, then authority is hereby given to charge such fee to our Account No. 14-1140.

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By:



Larry S. Nixon  
Reg. No. 25,640

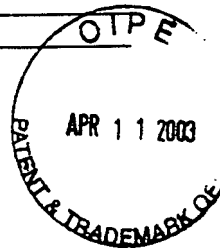
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901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
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Serial No.: 09/972, 193  
Applicant: DAWSON  
Title:

Atty: LSN  
Date: 4-11-03  
Client: 2425  
Ref: 14

- \_\_\_\_\_ Amendment
- \_\_\_\_\_ Pages Specification
- \_\_\_\_\_ Claims
- \_\_\_\_\_ Sheets Drawings: Formal \_\_\_\_\_  
Informal \_\_\_\_\_
- \_\_\_\_\_ Declaration (\_\_\_\_\_ Pages)
- \_\_\_\_\_ Assignment
- \_\_\_\_\_ Priority Document
- \_\_\_\_\_ Base Issue Fee Transmittal
- \_\_\_\_\_ Fee (Check)



Other: *Status Inquiry*  
*Cover address indicated Form*

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+

PTO/SB/121 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

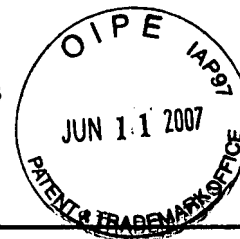
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# CORRESPONDENCE ADDRESS INDICATION FORM

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Request for Customer Number (PTO/SB/125) submitted herewith.

in the following listed application(s) or patent(s):

Patent Number (if appropriate)	Application Number	Patent Date (if appropriate)	U.S. Filing Date
	09/972,193		October 9, 2001

Typed or Printed Name	Larry S. Nixon	<i>(check one)</i> <input type="checkbox"/> Applicant or Patentee  <input type="checkbox"/> Assignee of record of the entire interest. Statement under 37 C.F.R. § 3.73(b) is enclosed. (Form PTO/SB/96)  <input checked="" type="checkbox"/> Attorney or Agent of record  <u>25,640</u> (Reg. No.)
Signature		
Date	April 11, 2003	
Address of signer:	1100 North Glebe Road, 8 <sup>th</sup> Floor Arlington, VA 22202	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.\*

\*Total of 1 forms are submitted.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Assistant Commissioner of Patents, Box CN, Washington, DC 20231.

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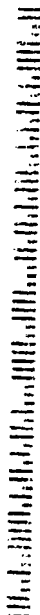
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